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# Structural Characterization of Inclusion Complex of Stigmasterol with Alpha-Cyclodextrin using Spectroscopy and **Molecular Modeling**

#### Kuruz Francy<sup>1</sup>, Johnson Prema Kumari<sup>1</sup>, Simon Lizy Roselet<sup>2</sup>

<sup>1</sup>Department of Chemistry, Scott Christian College (Autonomous), Affiliated to Manonmaniam Sundaranar University, <sup>2</sup>Department of Chemistry, Holy Cross College (Autonomous), Affiliated to Manonmaniam Sundaranar University, Nagercoil, Tamil Nadu, India

#### Abstract

Background: Stigmasterol possesses numerous physiological effects and is used as food supplements and behaves as a pharmaceutical agent. It exhibits anticancer effects against various cancers. The usefulness of the stigmasterol is restricted due to its poor solubility. To overcome this and enhance the solubility and bioavailability of this phytosterol, molecular encapsulation is utilized to augment the desirable properties of stigmasterol. Aim: This research work aims to investigate the interaction between stigmasterol and alpha-cyclodextrin ( $\alpha$ -CD) in aqueous solution as well as in solid state and experimentally examined by spectral techniques. Methods: The liquid complexes are characterized by ultraviolet (UV)-visible spectroscopy and solid inclusion complexes are characterized by Fourier transformer infrared resonance and <sup>1</sup>H nuclear magnetic resonance spectroscopy. The thermal behavior of the complex is analyzed by differential scanning calorimeter. Phase solubility studies are done to learn the solubility of the newly synthesized complex. Results: Formation constant from UV-visible analysis is found to be 569 M<sup>-1</sup> by Benesi–Hildebrand equation. The solubility constant is calculated to be 52 M<sup>-1</sup>. The results obtained prove the inclusion which is confirmed through molecular docking studies. Conclusion: The newly synthesized inclusion complex is a potent pharmaceutical agent in drug formulation as stigmasterol solubility is enhanced when included in the cavity of  $\alpha$ -CD.

Keywords: Alpha-cyclodextrin, formation constant, inclusion complex, stigmasterol

#### **NTRODUCTION**

Stigmasterol, known as stigmasterin found in various medicinal plants, is an unsaturated phytosterol resembling cholesterol in both structure and function. The molecule constitutes a rigid tetracyclic backbone (6-6-6-5) with one secondary hydroxyl group at one end and one  $C_{10}$  branched hydrocarbon chain at the other end.[1] It is a secondary metabolite used in health-enhancing constituents of natural food.<sup>[2]</sup> According to Song et al.,<sup>[3]</sup> stigmasterol possesses pharmacological properties such as cytotoxicity, antioxidant, anti-inflammatory, antimutagenic, hypoglycemic, antiosteoarthritic, and antitumor activity. Despite a wide range of potential attractiveness, stigmasterol is poorly used by the pharmaceutical industry due to its low solubility, high melting point, and chalky taste.<sup>[4]</sup> To overcome this problem, stigmasterol may be complexed with different compounds, which would enhance their physicochemical properties.<sup>[5]</sup> One such is to form an

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inclusion complex with alpha-cyclodextrin ( $\alpha$ -CD). CDs are water-soluble, nonreducing, and macrocyclic oligosaccharides that have glucose units formed by an  $\alpha$ -1,4 linkage with a lipophilic central cavity and a hydrophilic outer surface.<sup>[6]</sup> CDs enhance the delivery of low water-soluble and chemically unstable drugs to the body through biological membranes by improving the bioavailability of drug molecules. In this research, we evaluate the interaction between stigmasterol and

	Address for correspondence: Kuruz Francy, f Chemistry, Scott Christian College (Autonomous), ianiam Sundaranar University, Nagercoil - 629 001, Tamil Nadu, India. E-mail: francyscc28@gmail.com ORCID: https://orcid.org/0000-0003-4994-5745
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α-CD in the solid and liquid state and assess their potentiality
 in drug delivery system.

#### **4 MATERIALS AND METHODS**

#### Chemicals

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6 Stigmasterol and ethanol were purchased from Himedia (India).
 7 α-CD was purchased from Sigma Aldrich. Both were used as
 8 purchased. Double-distilled water was used.

# Preparation of solid inclusion complex of stigmasterol and alpha-cyclodextrin

About 0.1238 g of stigmasterol was accurately weighed and dissolved in 30 ml ethanol. About 0.2919 g of  $\alpha$ -CD was dissolved separately in 30 ml double-distilled water. Both the solutions were mixed in the beaker and put over an electromagnetic stirrer to stir continuously for 48 h at room temperature. The precipitate obtained after evaporation was dried and used for characterization.<sup>[7]</sup>

#### 19 Preparation of liquid inclusion complexes

20About 0.1650 g of stigmasterol was dissolved in 10 ml of21ethanol and about 0.2918 g of  $\alpha$ -CD was dissolved in 30 ml22double-distilled water in a beaker. Liquid inclusion complexes23were synthesized by varying the concentration of  $\alpha$ -CD from24 $2 \times 10^{-3}$  M to  $1 \times 10^{-3}$  M. The reactions were carried out at25

#### 26 Characterization techniques

#### 27 Ultraviolet visible spectroscopy

Absorbance values were recorded for the liquid inclusion
 complexes using an ultraviolet (UV)-1800, (Shimadzu)
 spectrophotometer.<sup>[9]</sup>

#### <sup>31</sup> Fourier transform infrared study of inclusion complexes

Fourier transform infrared (FTIR) for the solid inclusion
complexes were recorded by FTIR Schmidz spectrometer
by KBr pellet method. The samples were ground gently with
anhydrous KBr and compressed to a pellet form. Spectrum was
recorded in the range of 400–4000 cm<sup>-1</sup> at 298 K.<sup>[4]</sup>

#### Nuclear magnetic resonance analysis

<sup>1</sup>H nuclear magnetic resonance (NMR) spectroscopic studies
<sup>1</sup>H nuclear magnetic resonance (NMR) spectroscopic studies
of the solid inclusion complexes were recorded in Bruker
400 MHz FTNMR spectrometer. For all the samples, CDCl<sub>3</sub>
was used as solvent and tetramethylsilane (TMS) as an internal
reference. The chemical shifts were reported in ppm (<sup>8</sup>) relative
to TMS at 298 K.<sup>[10]</sup>

## <sup>44</sup> *Phase solubility studies*

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45Phase solubility studies of stigmasterol with different 46 concentrations of  $\alpha$ -CD were determined by the method  $\mathbf{47}$ proposed by Higuchi and Connors at room temperature.<sup>[11]</sup> The  $\mathbf{48}$ excess amount of stigmasterol was dissolved in 60 ml of ethanol 49 and then added to 10 ml of double-distilled water containing 50 various concentrations of  $\alpha$ -CD and taken in stoppered conical 51flasks, and the mixture was placed on a rotary flask shaker 52for 72 h, with continuous shaking. The suspensions were

filtered through Whatman filter paper. UV-1800 (Shimadzu) spectrophotometer was used at 269–227 nm. The solubility constant (Ks) was calculated from the slope of the linear portion of the phase solubility diagram.<sup>[12]</sup>

$$K_s = slope/S_0 (1 - slope)$$

where  $S_0$  is the aqueous solubility of stigmasterol.

#### Differential scanning calorimetry

Differential scanning calorimetric (DSC) analysis for the solid inclusion complexes was carried out on NETZCH DSC 204 calorimeter. A sample of approximately 1.4 mg was weighed in aluminum pans. These samples were heated over a range of 25°C–300°C at a constant rate of 10°C/min in a nitrogen purge of 50 ml/min. An empty aluminum pan is used as a reference.<sup>[6]</sup>

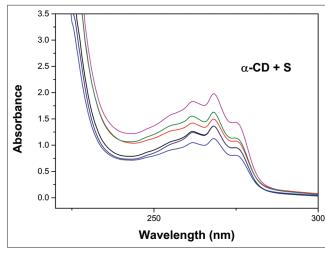
#### Molecular docking

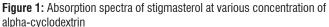
Molecules required for the molecular modeling studies were retrieved from PubChem database and drawn using ChemSketch tool. Before the analysis, molecules were prepared and hydrogen atoms were added by Chimera software. Then, it was converted as pdb format to molecular docking and inclusion. Initially, Patchdock server was utilized to process the docking and reveal the grid values. Autodock Vina was utilized to study the host–guest interaction. The grid values were adjusted and executed for molecular docking between stigmasterol and  $\alpha$ -CD. Complex files were analyzed and modeled for major forces by Pymol and Chimera tools.<sup>[13]</sup>

#### **Results and Discussion**

#### **Absorption spectral studies**

It is important to characterize the formulation of the inclusion complex in solution state since the administration of the drug is carried out in solution form.<sup>[6]</sup> The liquid inclusion complex is analyzed by UV-visible spectroscopy.<sup>[9]</sup> The absorption maxima of stigmasterol in varying concentrations of  $\alpha$ -CD





are shown in Figure 1. The absorbance and intensities are 1 2 listed in Table 1. From Figure 1 and Table 1, it is clear that the absorption maxima shift toward a higher wavelength and 3 the intensity of the peaks rises on increasing the concentration 4 of  $\alpha$ -CD. The shifting absorption may be due to the fact that 5 complex is stabilized within the cavity of  $\alpha$ -CD. The absorption 6 maxima exhibit a redshift in stigmasterol with  $\lambda_{abs} \sum 262$  to  $\lambda_{abs}$  $\mathbf{7}$  $\Sigma$ 268 nm on varying the concentration of  $\alpha$ -CD complexes. 8 The shifting of absorption peak position confirms the complex 9 formation between stigmasterol and α-CD which is in line with 10 the report of Panda and Nayak.<sup>[9]</sup> 11

The stoichiometric ratio for the inclusion complex is found to 12be 1:1. This theory was confirmed by the linear relationship 13 procured from the reciprocal plot of  $\frac{1}{A - A0}$  versus  $\frac{1}{[\alpha - CD]}$ 1415based on Benesi-Hilderbrand equation<sup>[14]</sup> for 1:1 complex as 16 shown in Figure 2. 17

$$\frac{1}{A - A0} = \frac{1}{A' - A0} + \frac{1}{K[A - A0][\alpha - CD]}$$

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where  $A_0$  is the initial absorption intensity, A' is the absorption 22intensity of the stigmasterol  $\alpha$ -CD inclusion complex, A is the 23observed absorption intensity, and K is the formation constant. From the results obtained, the formation constant is found to 24be 560 M<sup>-1</sup> for the complex. The high formation constant value 25indicates the stability of the complex formed.<sup>[15]</sup> 26

#### Fourier transform infrared analysis

FTIR analytical technique discovers the vibrational frequency of chemical bonds, the decrease in intensity or absence of characteristic bands in the compound under investigation. These changes reveal the interaction between the host and the guest in CD complexes. FTIR spectra of  $\alpha$ -CD-stigmasterol complex are studied in KBr pelleting to evaluate the host-guest interaction in the inclusion complex. Williams et al.[16] employed FTIR to prove the complex formation of HP-α-CD

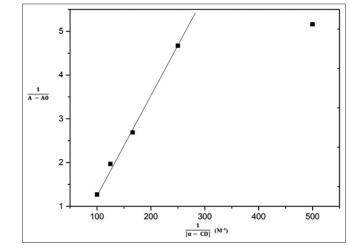


Figure 2: Bensi-Hilderbrand plot of stigmasterol-alpha-cyclodextrin complex

and cholesterol in solution. Figure 3 shows the FTIR spectrum of stigmasterol,  $\alpha$ -CD, and inclusion complex. It is important to note that few significant changes occur in the FTIR spectrum of the complex compared to the spectrum of stigmasterol. The bands at 1463 cm<sup>-1[17]</sup> corresponding to C-H stretching are shifted to a lower wavelength at 1315 cm<sup>-1</sup>. A week band at 1249 cm<sup>-1[18]</sup> denoting the presence of CH<sub>2</sub>(CH<sub>2</sub>), is shifted to 1251 cm<sup>-1</sup>. The aliphatic CH stretching narrow band at 2920 cm<sup>-1[17]</sup> is shifted to 2933 cm<sup>-1</sup>. The broad hydroxyl band of free  $\alpha$ -CD is found to be narrowed in the complex spectrum. All these changes in the FTIR spectrum prove that the hydrocarbon tail of stigmasterol enters into the cavity of  $\alpha$ -CD. The frequency corresponding to sp3-hybridized C-H stretching at 2368, 2366, and 2333 cm<sup>-1</sup> remains the same in both the guest and the inclusion complex. This shows that cyclohexane rings lie outside the cavity.

The notable changes in IR spectral characteristics may be attributed to the restriction of the compounds for undergoing vibration within  $\alpha$ -CD cavity due to the van der Waal forces, weak interaction such as H-bonding, and hydrophobic interactions.<sup>[8]</sup> This observation serves as proof for the

Concentration of $\alpha$ -CD	$\lambda_{abs}$	Absorbance	1/A-A <sub>0</sub>	Log ɛ	1/[α-CD]
0	262	1.0494			
0.002	263.5	1.2430	5.16	4.427	500
0.004	264	1.2633	4.67	4.501	250
0.006	265	1.4208	2.69	4.508	166.6
0.008	266	1.5547	1.97	4.559	125
0.010	268	1.8363	1.27	4.670	100

Table 1: Abcorntion spectral data of stigmasterol with

α-CD: Alpha-cyclodextrin

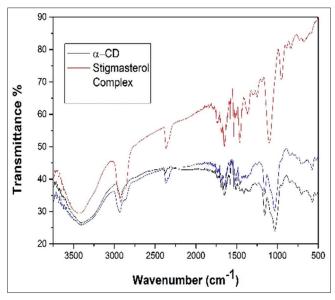


Figure 3: Fourier transform infrared spectra of alpha-cyclodextrin, stigmasterol, and alpha-cyclodextrin-stigmasterol complex

transference of stigmasterol from a more protic environment to
 a less protic environment. The interaction between stigmasterol
 and α-CD leading to an inclusion complex formation is further
 supported by <sup>1</sup>H NMR data.

#### <sup>5</sup> <sup>1</sup>H nuclear magnetic resonance spectra analysis

<sup>1</sup>H NMR can provide evidence for the inclusion of guest  $\mathbf{7}$ molecules within the cavity of  $\alpha$ -CD. Generally, when a guest molecule is encapsulated within the CD cavity, the hydrogen atoms present on the inner surface of the cavity (H-3 and H-5) will be shielded considerably<sup>[19]</sup> by the outer surface (H-1, H-2, H-4) and will be unaffected by the formation of inclusion complex.<sup>[7]</sup> The formation of inclusion complexes was studied by the changes in chemical shift caused by the guest and host to each other. The chemical shift change is defined as the difference in the chemical shift between the host and the host-guest complex.<sup>[20]</sup> A positive sign indicates a downfield shift, and negative sign indicates an upfield shift.[21] From Figure 4a-c and Tables 2 and 3, it is clear that in the case of  $\alpha$ -CD-stigmasterol complex, the H3 and H5 protons are shielded and experience upfield shift than H2 protons. Further, the chemical shift in H3 (8-0.010) protons is more magnitude than of H5 (8-0.005) protons. There are few signals that disappeared in the complex spectrum. The fact that the  $\alpha$ -CD: stigmasterol peak did not split into multiple peaks also indicates that there are no multiple binding sites. These changes indicate the partial inclusion of stigmasterol into the cavity of  $\alpha$ -CD and confirm the formation of  $\alpha$ -CD-stigmasterol complex, confirming the insights that emerged from FTIR spectroscopy.  $\mathbf{28}$ 

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#### **Differential scanning analysis**

DSC analysis measures the existence of an interaction between guest and host in the inclusion complex and is used to characterize CD inclusion complexes by considering the variation in peak temperature or intensity.<sup>[5]</sup> When the drug is encapsulated into the cavity of CD, their boiling point and melting point shift to different temperatures or disappear within the temperature range at which CD is decomposed ascribes to the formation of an inclusion complex.<sup>[22]</sup>

DSC thermograms of  $\alpha$ -CD, stigmasterol, and inclusion complex are shown in Figure 5. DSC thermogram of stigmasterol exhibits a sharp exothermic peak at 169.6°C corresponding to its melting point; however, in the case of

Table 2: <sup>1</sup> H-chemical shifts (ppm) of the protons of
alpha-cyclodextrin and alpha-cyclodextrin:stigmasterol in
the free and complex states

Proton α-CD	δ <sub>0</sub> α-CD (free)	<sup>δ</sup> c (complex) α-CD:S	$\Delta^{\delta} = {}^{\delta}_{c} - {}^{\delta}_{0}$
H-1	4.00	4.00	0
H-2	3.517	3.515	-0.002
H-3	3.936	3.926	-0.010
H-4	3.560	3.560	0
H-5	3.605	3.600	-0.005
H-6	3.914	3.914	0

 $\alpha$ -CD: Alpha-cyclodextrin,  $\delta_c$ : Chemical shift of complex,  $\delta_0$ : Chemical shift of pure  $\alpha$ -CD,  $\Delta\delta$ : Difference in chemical shift between pure  $\alpha$ -CD and complex, S: Stigmasterol

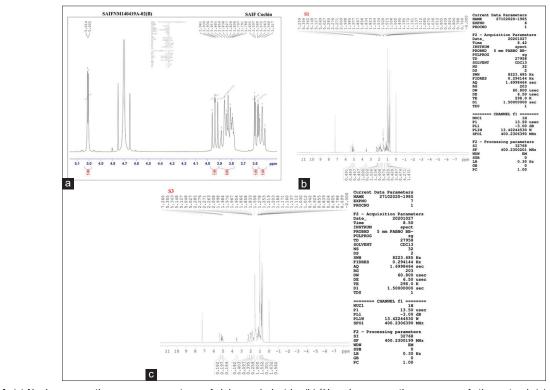


Figure 4: (a) Nuclear magnetic resonance spectrum of alpha-cyclodextrin. (b) <sup>1</sup>H nuclear magnetic resonance of stigmasterol. (c) <sup>1</sup>H nuclear magnetic
 resonance of alpha-cyclodextrin –stigmasterol complex

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 $\alpha$ -CD-stigmasterol inclusion complex, a shift in the exothermic 2 peak to the left at 167.2°C occurs. Similarly, the peaks of  $\alpha$ -CD 3 at 77.7, 102.6, and 108.6 are shifted to the right in the DSC 4 thermogram of  $\alpha$ -CD-stigmasterol inclusion complex. These 5 visible shifts in the thermograms of the complex compared 6 to stigmasterol and  $\alpha$ -CD serve as evidence for the partial 7 encapsulation of stigmasterol in the  $\alpha$ -CD cavity.

#### 8 Phase solubility studies

The complexation of stigmasterol with  $\alpha$ -CD, the effect of  $\alpha$ -CD on the solubility of stigmasterol, the type of phase solubility, and the stability constants (Ks) are determined by phase solubility studies. Figure 6 depicts the phase solubility diagram for inclusion complex. It is visible that the phase solubility diagram obtained can be classified as AL type, according to Higuchi and Connors.<sup>[11]</sup> The aqueous solubility of stigmasterol increases linearly as a function of the concentration of  $\alpha$ -CD. It is assumed that the increase in solubility observed is due to the formation of a 1:1 inclusion complex between stigmasterol and  $\alpha$ -CD. The solubility constant (Ks) for  $\alpha$ -CD-stigmasterol inclusion complex is 52 M<sup>-1</sup> indicating weak interactions between the guest and the host molecules. 

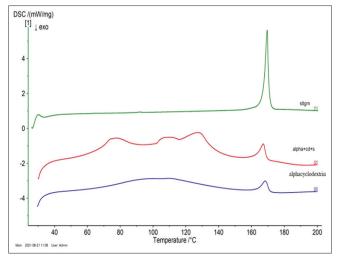
### <sup>22</sup> Molecular modeling

Docking has been utilized to perform virtual screening of compounds and propose structural hypotheses of how the drug binds with CD with lead optimization. Stigmasterol is docked with  $\alpha$ -CD, after optimizing their structures. The  $\mathbf{27}$ optimized structures of the inclusion complex are shown in  $\mathbf{28}$ Figure 7 a and b, respectively. From Figure 7a and b, it is clear that stigmasterol is bound to  $\alpha$ -CD and the aliphatic hydrocarbon tail has entered through the wider rim of the  $\alpha$ -CD cavity. As the results of NMR confirm a single binding site, docking studies also support the data obtained experimentally. 

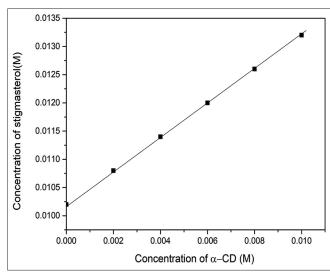
Table 3: <sup>1</sup>H-chemical shifts (ppm) of the protons of stigmasterol and alpha-cyclodextrin: stigmasterol in the free and complex states

Position of hydrogen (S)	${}^{\scriptscriptstyle \delta}_{\scriptscriptstyle 0}$ of S	$c_{c}^{\circ}$ of the complex $lpha$ -CD: S	$\Delta^{\delta} = {}^{\delta}_{c} - {}^{\delta}_{0}$ ( ${}^{\delta}$ S - ${}^{\delta}\alpha$ -CD: S)
С3-ОН	2.285	2.285	0
С6-Н	5.027	5.027	0
C18	0.839	0.839	0
C19	0.788	0.788	0
C21	0.855	0.840	-0.015
C22	5.127	5.027	-0.100
C23	5.148	5.048	-0.100
C24	2.271	2.263	-0.008
C25	2.009	2.008	-0.001
C26	1.520	1.510	-0.010
C27	1.495	1.490	-0.005
C28	1.998	1.984	-0.014
C29	1.823	1.731	-0.092

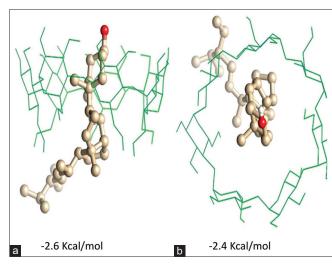
 $\alpha$ -CD: Alpha-cyclodextrin,  $\delta_c$ : Chemical shift of complex,  $\delta_0$ : Chemical<br/>shift of pure  $\alpha$ -CD,  $\Delta\delta$ : Difference in chemical shift between pure  $\alpha$ -CD<br/>and complex, S: Stigmasterol



**Figure 5:** Differential scanning calorimetric thermograms of stigmasterol, alpha-cyclodextrin: stigmasterol, and alpha-cyclodextrin







**Figure 7:** (a and b) The most stable-optimized geometry of the inclusion complex at different views and positions

#### CONCLUSION

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- The present research work demonstrates the inclusion of stigmasterol within the  $\alpha$ -CD cavity. The absorption maxima
- $^{4}$  are redshifted with the formation constant 560 M<sup>-1</sup> indicating the
- <sup>5</sup> formation of inclusion complex. Phase solubility studies explain
- 6 the formation of 1:1 complex, and the solubility of stigmasterol is
- 7 enhanced on complexation with  $\alpha$ -CD. DSC studies confirm the
- 8 partial inclusion of stigmasterol into the  $\alpha$ -CD cavity. The results 9 obtained from <sup>1</sup>H NMR and FTIR show that the possibility of 10 the aliphatic tail of stigmasterol enters into the wider rim of 11  $\alpha$ -CD which is substantiated by the stable-optimized structures
- α-CD which is substantiated by the stable-optimized
   obtained through molecular docking.

#### <sup>13</sup> Financial support and sponsorship

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#### Conflicts of interest

There are no conflicts of interest.

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